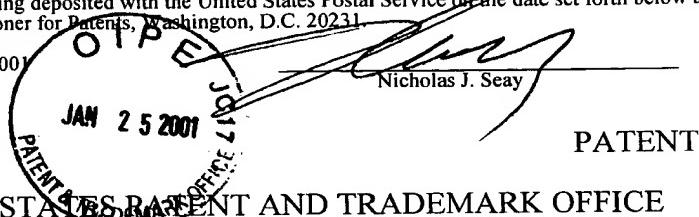


I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Signature and Deposit: January 22, 2001

Nicholas J. Seay



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Alan D. Attie  
Donald L. Gillian-Daniel  
Paul W. Bates

Date: January 22, 2001

Serial No.: 09/620,820

Group Art Unit:

Filed: 07/21/00

Examiner:

Title: INHIBITION OF LIPOPROTEIN SECRETION File No.: 960296.97290

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION FILED UNDER 37 CFR 1.53(b)  
*Filing Date Granted*

Commissioner For Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington DC 20231

Dear Sir:

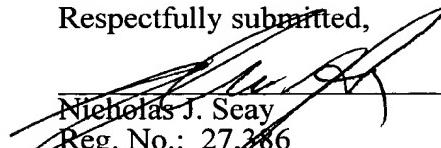
By a Notice mailed November 22, 2000 in the file of this application the Patent and Trademark Office informed the undersigned on behalf of the applicants, of the informalities in connection with the filing of this application. In particular, the applicants were notified that a signed Declaration and Power of Attorney was required for this application.

Enclosed with this submission is a Declaration and Power of Attorney executed by all the inventors of this application. Accordingly, this requirement should be satisfied by this response.

The undersigned hereby represents that this application is entitled to small entity status. Enclosed with this submission is a fee transmittal to pay the application fee for this application, plus the fee for the additional claim, plus the fee for the surcharge, all at the rates applicable to an application having small entity status.

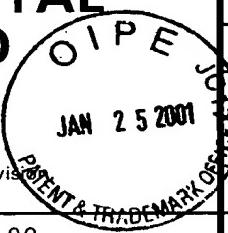
Wherefore it is believed that this submission is complete. Examination on the merits  
is therefore respectfully requested.

Respectfully submitted,

  
Nicholas J. Seay  
Reg. No.: 27,386  
Attorney for Applicants  
QUARLES & BRADY LLP  
P.O. Box 2113  
Madison, WI 53701

TEL 608/251-5000  
FAX 608/251-9166

# FEE TRANSMITTAL for FY 2000



Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$ 460.00

## Complete if Known

|                        |               |
|------------------------|---------------|
| Application Number     | 09/620,820    |
| Filing Date            | 07/21/2000    |
| First Named Inventor   | Alan D. Attie |
| Group Art Unit         |               |
| Examiner Name          |               |
| Attorney Docket Number | 960296.97290  |

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

|                        |                     |
|------------------------|---------------------|
| Deposit Account Number | 17-0055             |
| Deposit Account Name   | Quarles & Brady LLP |

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status See 37 CFR 1.27

2.  Payment Enclosed:  
 Check     Credit Card     Money Order     Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee Code            | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description                                                            | Fee Paid                |
|----------------------------------|-----------------------|----------|----------|----------------------------------------------------------------------------|-------------------------|
| 105                              | 130                   | 205      | 65       | Surcharge - late filing fee or oath                                        | 65.00                   |
| 127                              | 50                    | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |                         |
| 139                              | 130                   | 139      | 130      | Non-English specification                                                  |                         |
| 147                              | 2,520                 | 147      | 2,520    | For filing a request for reexamination                                     |                         |
| 112                              | 920                   | 112      | 920      | Requesting publication of SIR prior to Examiner action                     |                         |
| 113                              | 1,840                 | 113      | 1,840    | Requesting publication of SIR after Examiner action                        |                         |
| 115                              | 110                   | 215      | 55       | Extension for reply within first month                                     |                         |
| 116                              | 390                   | 216      | 195      | Extension for reply within second month                                    |                         |
| 117                              | 890                   | 217      | 445      | Extension for reply within third month                                     |                         |
| 118                              | 1,390                 | 218      | 695      | Extension for reply within fourth month                                    |                         |
| 128                              | 1,890                 | 228      | 945      | Extension for reply within fifth month                                     |                         |
| 119                              | 310                   | 219      | 155      | Notice of Appeal                                                           |                         |
| 120                              | 310                   | 220      | 155      | Filing a brief in support of an appeal                                     |                         |
| 121                              | 270                   | 221      | 135      | Request for oral hearing                                                   |                         |
| 138                              | 1,510                 | 138      | 1,510    | Petition to institute a public use proceeding                              |                         |
| 140                              | 110                   | 240      | 55       | Petition to revive unavoidably abandoned application                       |                         |
| 141                              | 1,240                 | 241      | 620      | Petition to revive unintentionally abandoned application                   |                         |
| 142                              | 1,240                 | 242      | 620      | Utility issue fee (or reissue)                                             |                         |
| 143                              | 440                   | 243      | 220      | Design issue fee                                                           |                         |
| 144                              | 600                   | 244      | 300      | Plant issue fee                                                            |                         |
| 122                              | 130                   | 122      | 130      | Petitions to the Commissioner                                              |                         |
| 123                              | 50                    | 123      | 50       | Petitions related to provisional applications                              |                         |
| 126                              | 240                   | 126      | 240      | Submission of Information Disclosure Stmt                                  |                         |
| 581                              | 40                    | 581      | 40       | Recording each patent assignment per property (times number of properties) |                         |
| 146                              | 710                   | 246      | 355      | Filing a submission after final rejection (37 CFR 1.129(a))                |                         |
| 146                              | 710                   | 246      | 355      | For each additional invention to be examined (37 CFR 1.129(b))             |                         |
| 179                              | 710                   | 270      | 355      | Request for Continued Examination (RCE)                                    |                         |
| 169                              | 900                   | 169      | 900      | Request for expedited examination of a design application                  |                         |
| Other fee (specify) _____        |                       |          |          |                                                                            |                         |
| Reduced by Basic Filing Fee Paid |                       |          |          |                                                                            | SUBTOTAL (3) (\$ 65.00) |

## SUBMITTED BY

## Complete (if applicable)

|                       |                  |                                      |        |           |                  |
|-----------------------|------------------|--------------------------------------|--------|-----------|------------------|
| Typed or Printed Name | Nicholas J. Seay | Registration No.<br>(Attorney/Agent) | 27,386 | Telephone | 608/251-5000     |
| Signature             |                  |                                      |        | Date      | January 22, 2001 |



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/620,820         | 07/21/2000          | Alan D. Attie         | 960296.97290           |

Nicholas J Seay  
Quarles & Brady LLP  
P O Box 2113  
Madison, WI 53701-2113



**FORMALITIES LETTER**



\*OC000000005572222\*

Date Mailed: 11/22/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$78.
  - \$78 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 898.**

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/25/2001 BRADEN 00000075 170055 096296820  
 35.00 40.00  
 01 FC:201 02 FC:202  
 03 FC:203